

# KKR & CO. INC. Reported by

## RAMPL DIETER

#### FORM 4

(Statement of Changes in Beneficial Ownership)

### Filed 10/03/13 for the Period Ending 10/01/13

Address 9 WEST 57TH STREET, SUITE 4200

NEW YORK, NY, 10019

Telephone 212-750-8300

CIK 0001404912

Symbol KKR

SIC Code 6282 - Investment Advice

Industry Investment Management & Fund Operators

Sector Financials

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Ad	nme and Address of Reporting Person * 2.												5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Rampl Diete							iest Trans			/DD/VVV	W)	X _ Director		1	0% Owner		
(Last)	(First	) (Mie	idle)	3. 1	Jaie	OI Ean	nest mans	action	I (MM	/DD/ Y Y Y	Υ)	Officer (giv	e title below	/)O	ther (specify	below)	
C/O KKR & STREET, 42			ST 57TH	]			10/	1/20	13								
(Street)				4. I	4. If Amendment, Date Original Filed (MM/DD/YYYY)							6. Individual or Joint/Group Filing (Check Applicable Line)					
NEW YORK	<b>K, NY 100</b> ity) (Sta		)									X Form filed by		rting Person One Reporting P	erson		
		,	Гable I - N	lon-Der	ivati	ive Sec	urities Ac	quire	ed, D	isposed	of, or Be	eneficially Own	ed				
1.Title of Security (Instr. 3)				ans. Date	Exec	Deemed ution , if any	3. Trans. Co (Instr. 8)	3. Trans. Code (Instr. 8)		4. Securities Acq or Disposed of (E (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) Instr. 3 and 4)			Ownership (Form: Direct (D)	7. Nature of Indirect Beneficial Ownership	
							Code	V	Amou	(A) count (D)					or Indirect (I) (Instr. 4)	(Instr. 4)	
Common Units 10/1/2			1/2013	3 M 10000 A (1) 30000					D								
	Tab	le II - Deri	vative Sec	urities I	Bene	ficially	Owned (	e.g. ,	, puts	, calls, w	varrants	, options, conve	rtible sec	urities)			
1. Title of Derivate Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security		3. Trans. Date	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date				Underlying Security	8. Price of Derivative Security (Instr. 5)	Securities Beneficially Owned Following	Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	(A)	(D)	Date Exerci	isable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)		
Restricted Equity Units	<u>(1)</u>	10/1/2013		M			10000	C	1)	(1)	Commo Units	n 10000	\$0	7222	D		

#### **Explanation of Responses:**

(1) 10,000 restricted equity units of KKR & Co. L.P. vested on October 1, 2013 and were settled for common units of KKR & Co. L.P. on a one-for-one basis. The remaining 7,222 restricted equity units will generally vest on October 1, 2014, and upon vesting, may be settled by delivery of common units of KKR & Co. L.P. on a one-for-one basis.

#### Reporting Owners

Reporting Owners							
Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner Officer Officer		Other			
Rampl Dieter							
C/O KKR & CO. L.P.	X						
9 WEST 57TH STREET, 42ND FLOOR	71						
NEW YORK, NY 10019							

#### **Signatures**

David J. Sorkin, Attorney-in-fact

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.