

# KKR & CO. INC. Reported by SCULLY ROBERT W

# FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 10/04/16 for the Period Ending 10/01/16

 Address
 9 WEST 57TH STREET, SUITE 4200

 NEW YORK, NY, 10019

 Telephone
 212-750-8300

 CIK
 0001404912

 Symbol
 KKR

 Fiscal Year
 12/31

Powered By EDGAR Online

http://www.edgar-online.com

© Copyright 2020, EDGAR Online, a division of Donnelley Financial Solutions. All Rights Reserved. Distribution and use of this document restricted under EDGAR Online, a division of Donnelley Financial Solutions, Terms of Use.

FORM 4	
--------	--

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup>	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
SCULLY ROBERT W	KKR & Co. L.P. [ KKR ]	X Director 10% Owner				
(Last) (First) (Middle)	3. Date of Earliest Transaction (MM/DD/YYYY)	Officer (give title below)Other (specify below)				
C/O KKR & CO. L.P.,, 9 WEST 57TH	10/1/2016					
STREET, 42ND FLOOR						
(Street)	4. If Amendment, Date Original Filed (MM/DD/YYYY)	6. Individual or Joint/Group Filing (Check Applicable Line)				
NEW YORK, NY 10019		X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State) (Zip)		rorm med by More man One Reporting Person				

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Trans. Date	 (Instr. 8)		or Disposed of (D)			Following Reported Transaction(s) (Instr. 3 and 4)		Beneficial
		Code	v	Amount	(A) or (D)	Price		Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Common Units	10/1/2016	М		6219	А	<u>(1)</u>	49495	D	

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

						•		0 / 1	, ,	,	1 ,		,		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Trans. Date	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)		Derivative Securities Acquired (A) or Disposed of (D)		Derivative Expiration Date Securities Acquired (A) or Disposed of (D)		Securities Underlying Derivative Security		Derivative Security	Securities Beneficially Owned	Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security			Code	v	(Instr. 3	, 4 and 5) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s)	Direct (D) or Indirect (I) (Instr. 4)	
Restricted Equity Units	<u>(1)</u>	10/1/2016		М			6219	<u>(1)</u>	<u>(1)</u>	Common Units	6219	\$0	0	D	

#### **Explanation of Responses:**

(1) 6,219 restricted equity units of KKR & Co. L.P. vested on October 1, 2016 and were settled for common units of KKR & Co. L.P. on a one-for-one basis.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
SCULLY ROBERT W							
C/O KKR & CO. L.P.,	x						
9 WEST 57TH STREET, 42ND FLOOR	Λ						
NEW YORK, NY 10019							

#### Signatures

/s/ David J. Sorkin, Attorney-in-fact

10/4/2016

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Note:

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.