

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2. 1	2. Issuer Name <b>and</b> Ticker or Trading Symbol						ool	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
BAE JOSEPH Y				K	KKR & Co. Inc. [ KKR ]								,			
(Last	(Last) (First) (Middle)				3. Date of Earliest Transaction (MM/DD/YYYY)						()	X Director 10% Owner  X Officer (give title below) Other (specify below)				
C/O KKR & CO. INC., 30 HUDSON YARDS					12/9/2021							Co-Chief Exe			ier (speem)	, e.i.o. ii )
	(Str	reet)		4. ]	If A	mendment	t, Date C	Origina	ıl Fil	ed (MM/E	DD/YYYY)	6. Individual o	or Joint/G	roup Filing (	Check Appl	icable Line)
NEW YORI		0001 tate) (Zij	p)									X Form filed by		ting Person One Reporting P	erson	
			Table I - I	Non-Der	ivat	tive Secur	rities Ac	quirec	d, Di	sposed o	of, or Ber	neficially Owne	ed			
1.Title of Security (Instr. 3)			2. T		Exec		Code	(	or Disp	posed of (E 3, 4 and 5) (A) or	(I	Amount of Securition of Securition of Reported Tests. 3 and 4)			Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
	Ta	ble II - Dei	rivative Se	curities	Ben	eficially (	Owned (	( <i>e.g.</i> , p	outs,	calls, wa	arrants, o	options, conve	tible secu	ırities)		
1. Title of Derivate Security (Instr. 3)	Conversion or Exercise Price of Derivative	Date E	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date		7. Title and Securities U Derivative (Instr. 3 and	nderlying Derivative security Security	9. Number of derivative Securities Beneficially Owned	Ownership Form of Derivative Security: (Ir	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
	Security			Code	v	(A)	(D)	Date Exercise		Expiration Date	Title	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	
Restricted Holdings Units	<u>(1)</u>	12/9/2021		A (1)		7500000		<u>(1)</u>	Į.	<u>(1)</u>	Common Stock	7500000	\$0	7500000	D	

## **Explanation of Responses:**

(1) Represents a grant of restricted holdings units from the Amended and Restated KKR & Co. Inc. 2019 Equity Incentive Plan, which are subject to market price and cliff service vesting conditions based on average prices of common stock ranging from \$95.80 to \$135.80 and the holder's continued service to December 31, 2026, subject to exceptions (including continued service if the market price vesting conditions are satisfied between December 31, 2026 and December 31, 2028). Upon vesting, subject to certain conditions, the holder may exchange one restricted holdings unit for one share of common stock of KKR & Co. Inc. Restricted holding units that have not vested by December 31, 2028 will be forfeited.

**Reporting Owners** 

Panarting Owner Name / Addr	200	Relationships							
Reporting Owner Name / Addre	Director	10% Owner	Officer	Other					
BAE JOSEPH Y									
C/O KKR & CO. INC.	X		Co-Chief Executive Officer						
30 HUDSON YARDS	Λ		Co-Ciliei Executive Officer						
NEW YORK, NY 10001									

## **Signatures**

/s/ Christopher Lee, Attorney-in-fact	12/10/2021		
**Signature of Reporting Person	Date		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

