

# KKR & CO. INC. Reported by HESS JOHN B

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 07/31/13 for the Period Ending 07/29/13

Address 9 WEST 57TH STREET, SUITE 4200

NEW YORK, NY, 10019

Telephone 212-750-8300

CIK 0001404912

Symbol KKR

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Ad	dress of Re	porting Per	son *		2. Is	ssue	r Name	and Tick	er or	Trad	ing Symb	ool	5. Relationshi		rting Person	(s) to Issu	ıer
HESS JOHN	B				Kŀ	ΚR	& Co.	L.P. [	KKI	R ]				nicaoic)		00/ 0	
(Last)	(First	) (Mie	ddle)		3. Г	Date	of Earlie	est Trans	action	1 (MN	I/DD/YYYY	Y)	X _ Director Officer (give	e title below		0% Owner ther (specify	below)
C/O KKR & STREET, 42			ST 57	TH				7/2	9/20	13							
51KEE1, 42	(Stre				4. I	f An	nendmen	nt, Date (	Origin	al Fi	led (MM/I	DD/YYYY)	6. Individual o	or Joint/G	roup Filing (	Check Appl	icable Line)
NEW YORK	<b>X, NY 100</b>		o)										X Form filed by		rting Person One Reporting P	erson	
	, (8.2		-	I - Noi	n-Deri	ivati	ive Secu	rities Ac	quir	ed, D	isposed (	of, or Be	neficially Owne	ed			
1.Title of Security (Instr. 3)				2. Trans	]	Execu		3. Trans. Co (Instr. 8)	ode V	or Dis	sposed of (E . 3, 4 and 5) (A) o	P) F	. Amount of Securiti Following Reported T Instr. 3 and 4)				7. Nature of Indirect Beneficial Ownership (Instr. 4)
	Tab	le II - Deri	vative	Secur	ities B	Bene	ficially (	Owned (	e.g. ,	, puts	s, calls, w	arrants,	options, conve	rtible sec	urities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Dee Executi Date, if		Trans. ode nstr. 8)		5. Number Derivative Securities (A) or Dis (D) (Instr. 3, 4	Acquired sposed of		te Exer ation I			Underlying Security		9. Number of derivative Securities Beneficially Owned Following	Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	V	(A)	(D)	Date Exerc	isable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Restricted Equity Units	<u>(1)</u>	7/29/2013			A		7222		(	1)	(1)	Common Units	7222	\$0	17222 (2)	D	

#### **Explanation of Responses:**

- (1) These restricted equity units of KKR & Co. L.P. were approved for grant under the KKR & Co. L.P. 2010 Equity Incentive Plan and will generally vest on October 1, 2014. Upon vesting, each restricted equity unit may be settled by delivery of one common unit of KKR & Co. L.P.
- (2) 10,000 of these restricted equity units of KKR & Co. L.P. were previously granted to the Reporting Person and will generally vest on October 1, 2013.

#### **Reporting Owners**

Reporting Owner Name / Address		Relationsh	ips	
Reporting Owner Name / Address	Director	10% Owner	Officer	Other
HESS JOHN B C/O KKR & CO. L.P. 9 WEST 57TH STREET, 42ND FLOOR NEW YORK, NY 10019	X			

#### **Signatures**

David J. Sorkin, Attorney-in-fact	7/31/2013
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.