

# KKR & CO. INC. Reported by SCHOEWE THOMAS M

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 10/04/16 for the Period Ending 10/01/16

Address 9 WEST 57TH STREET, SUITE 4200

NEW YORK, NY, 10019

Telephone 212-750-8300

CIK 0001404912

Symbol KKR

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2 3								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
SCHOEWE	THOMA	S M					. L.P. [					X Director		1	0% Owner		
(Last)	(First)	t) (Middle)			3. Date of Earliest Transaction (MM/DD/YYYY)							Officer (give title below) Other (specify below)					
C/O KKR & STREET, 42			ST 57TI	H			10/	1/20	16								
,	(Stre			4. I	f An	nendme	ent, Date C	Origin	al Fi	led (MM/I	DD/YYYY	6. Individual	or Joint/G	roup Filing	Check Appl	icable Line)	
NEW YORK	<b>X, NY 100</b> ity) (Sta		))									X Form filed Form filed b		rting Person One Reporting P	erson		
		,	Table I - N	lon-Der	ivati	ive Sec	urities Ac	quire	ed, D	isposed	of, or B	eneficially Own	ed				
1. Title of Security (Instr. 3)			ans. Date	Execution Date, if any		3. Trans. Code (Instr. 8)		4. Securities Acquir or Disposed of (D) (Instr. 3, 4 and 5)		Ď) (	. Amount of Securities Beneficially Owned following Reported Transaction(s) Instr. 3 and 4)			Ownership Form: Beneficia Direct (D) Ownershi	Beneficial Ownership		
							Code	V	Amo	(A) c unt (D)	or Price				(I) (Instr. 4)	(Instr. 4)	
Common Units			10/	1/2016	16		M		6219	9 A	(1)	50095		D			
	Tabl	e II - Deri	vative Sec	urities I	Bene	ficially	Owned (	e.g. ,	puts	s, calls, v	varrants	s, options, conv	ertible sec	urities)			
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date		Securities	Underlying e Security		9. Number of derivative Securities Beneficially Owned Following	Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exerci		Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)		
Restricted Equity Units	<u>(1)</u>	10/1/2016		M			6219	C	<u>1)</u>	<u>(1)</u>	Commo Units	en 6219	\$0	0	D		

#### **Explanation of Responses:**

(1) 6,219 restricted equity units of KKR & Co. L.P. vested on October 1, 2016 and were settled for common units of KKR & Co. L.P. on a one-for-one basis.

#### Reporting Owners

Keporting Owners							
Penarting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner		Other			
SCHOEWE THOMAS M							
C/O KKR & CO. L.P.,	X						
9 WEST 57TH STREET, 42ND FLOOR	Λ						
NEW YORK, NY 10019							

#### **Signatures**

/s/ David J. Sorkin, Attorney-in-fact 10/4/2016

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.