

# KKR & CO. INC. Reported by

# RAMPL DIETER

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 10/03/14 for the Period Ending 10/01/14

Address 9 WEST 57TH STREET, SUITE 4200

**NEW YORK, NY, 10019** 

Telephone 212-750-8300

CIK 0001404912

Symbol KKR

SIC Code 6282 - Investment Advice

Industry Investment Management & Fund Operators

Sector Financials

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				[2	2. Issuer Name and Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Rampl Diete	r				KKI	R & Co	o. L.P. [	KKI	R ]								
(Last) (First) (Middle)				3	3. Date of Earliest Transaction (MM/DD/YYYY)							X _ Director Officer (g	X Director10% Owner Officer (give title below) Other (specify below)				
C/O KKR &			ST 571	ГН			10/	/1/20	14								
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	(Site			4	4. II <i>I</i>	Amenam	ent, Date (	Jrigin	iai Fi	iea (MM/	DD/YYYY	() 6. Individual	or Joint/G	roup Filing	(Check Appl	icable Line)	
NEW YORK	K, NY 100	019										X Form filed					
(C	ity) (Sta	nte) (Zip	)									Form filed b	y More than (	One Reporting F	erson		
		,	Table I	- Non-D	)eriv:	ative Sec	curities Ac	quire	ed, D	isposed	of, or B	eneficially Owr	ied				
1.Title of Security (Instr. 3) 2. Trans. E			. Trans. Da	Date 2A. Deemed Execution Date, if any		3. Trans. C (Instr. 8)	ode	4. Securities Acq or Disposed of (I (Instr. 3, 4 and 5)		D)	5. Amount of Secur Following Reported (Instr. 3 and 4)	ties Beneficially Owned Transaction(s)		Ownership o Form:	Beneficial		
							Code	v	Amor	unt (A)	-				or Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
Common Units 10/1/			10/1/2014	14		M		7222	2 A	(1)	37222		D				
	Tab	le II - Deri	vative S	Securitie	es Bei	neficially	y Owned (	e.g. ,	, puts	s, calls, v	varrant	s, options, conv	ertible sec	curities)			
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deen Execution Date, if an			(A) or I (D)			te Exercisable and ration Date		Securitie	s Underlying ve Security	derlying Derivative Security (Instr. 5)		Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Cod	ie v	V (A)	(D)	Date Exerci		Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)		
Restricted Equity Units	<u>(1)</u>	10/1/2014		M			7222	(	1)	(1)	Comm Units		\$0	6054	D		

#### **Explanation of Responses:**

(1) 7,222 restricted equity units of KKR & Co. L.P. vested on October 1, 2014 and were settled for common units of KKR & Co. L.P. on a one-for-one basis. The remaining 6,054 restricted equity units will generally vest on October 1, 2015, and upon vesting, may be settled by delivery of common units of KKR & Co. L.P. on a one-for-one basis.

#### Reporting Owners

reporting owners						
Reporting Owner Name / Address	Relationships					
Reporting Owner Name / Address	Director	Pirector 10% Owner Officer		Other		
Rampl Dieter						
C/O KKR & CO. L.P.	X					
9 WEST 57TH STREET, 42ND FLOOR	Λ					
NEW YORK, NY 10019						

#### **Signatures**

/s/ David J. Sorkin, Attorney-in-fact

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.