

# KKR & CO. INC. Reported by SCULLY ROBERT W

## FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 10/03/13 for the Period Ending 10/01/13

Address 9 WEST 57TH STREET, SUITE 4200

NEW YORK, NY, 10019

Telephone 212-750-8300

CIK 0001404912

Symbol KKR

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. 1	2. Issuer Name and Ticker or Trading Symbol									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
SCULLY ROBERT W					Kl	KR	& Co	. L.P. [	KKI	<b>R</b> ]									
(Last)					3. 1	3. Date of Earliest Transaction (MM/DD/YYYY)								X _ Director10% OwnerOfficer (give title below)Other (specify below)					
C/O KKR & CO. L.P., 9 WEST 57TH STREET, 42ND FLOOR								10/	1/20	13							·		
						nendme	nt, Date C	)rigir	al Fi	led	(MM/D	D/YYYY	6. Individual o	6. Individual or Joint/Group Filing (Check Applicable Line)					
NEW YORK, NY 10019 (City) (State) (Zip)													X_Form filed by One Reporting Person Form filed by More than One Reporting Person						
			Table	I - Non	-Der	ivati	ive Sec	urities Ac	quir	ed, D	ispo	osed o	f, or B	eneficially Owne	ed				
1. Title of Security (Instr. 3)			2. Trans. Date		2A. Deemed Execution Date, if any		3. Trans. Co (Instr. 8)	ode	e 4. Securities Acq or Disposed of (I (Instr. 3, 4 and 5)		d of (D)			Amount of Securities Beneficially Owned ollowing Reported Transaction(s) nstr. 3 and 4)			7. Nature of Indirect Beneficial Ownership		
							Code	V	Amou	unt	(A) or (D)	Price				Direct (D) or Indirect (I) (Instr. 4)			
Common Units				10/1/20	13			M		1000	0	A	<u>(1)</u>	10	)3550		D		
Common Units														1	7450		I	By Immediate Family Member	
Common Units													38700			т	By Limited Liability Company		
Common Units														3	8700		I	By Trust	
	Tab	le II - Deri	vative	Securi	ties l	Bene	ficially	Owned (	e.g. ,	puts	s, ca	ılls, w	arrant	s, options, conve	rtible sec	urities)			
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. De Executi Date, if			Derivati Securitie (A) or D (D)			6. Date Exe Expiration				Securities	nd Amount of s Underlying e Security and 4)	derlying curity Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported		11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	Code	v	(A)	(D)	Date Exerc	isable		iration e	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)			
Restricted Equity Units	<u>(1)</u>	10/1/2013			M			10000	1	<u>1)</u>		<u>(1)</u>	Commo Units	on 10000	\$0	7222	D		

#### **Explanation of Responses:**

(1) 10,000 restricted equity units of KKR & Co. L.P. vested on October 1, 2013 and were settled for common units of KKR & Co. L.P. on a one-for-one basis. The remaining 7,222 restricted equity units will generally vest on October 1, 2014, and upon vesting, may be settled by delivery of common units of KKR & Co. L.P. on a one-for-one basis.

#### Remarks

Pursuant to Rule 16a-1(a)(4) under the Securities Exchange Act of 1934, as amended, the Reporting Person states that this filing shall not be an admission that the Reporting Person is the beneficial owner of any of the securities reported herein as indirectly held, and the Reporting Person disclaims beneficial ownership of such securities except to the extent of the Reporting Person's pecuniary interest therein, if any.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
SCULLY ROBERT W C/O KKR & CO. L.P. 9 WEST 57TH STREET, 42ND FLOOR NEW YORK, NY 10019	X							

#### **Signatures**

### David J. Sorkin, Attorney-in-fact 10/3/2013

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.