

# KKR & CO. INC. Reported by DRUMMOND DAVID C

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 10/28/16 for the Period Ending 10/26/16

Address 9 WEST 57TH STREET, SUITE 4200

NEW YORK, NY, 10019

Telephone 212-750-8300

CIK 0001404912

Symbol KKR

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2. Is	2. Issuer Name and Ticker or Trading Symbol						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
					KKR & Co. L.P. [KKR]							X Director 10% Owner				
(Last) (First) (Middle)				3. E	3. Date of Earliest Transaction (MM/DD/YYYY)						Officer (give title below) Other (specify below)					
C/O KKR & CO. L.P.,, 9 WEST 57TH					10/26/2016											
STREET, 42	ND FLO (Stre			4 I	f An	nendmen	t Date (	Origin	al Fil	led (MM/I	DD/YYYY)	6. Individual c	or Ioint/G	roun Filing (	Check Appl	icable Line)
NEW VODI	NIX/ 10/	010				iciidiiicii	ii, Duic v	origin.		ica (mini	<i>,</i> , , , , , , , , , , , , , , , , , ,				спеск гърг	icubic Eme)
NEW YORK, NY 10019 (City) (State) (Zip)												X_Form filed by One Reporting Person Form filed by More than One Reporting Person				
				on-Deri	ivati	ve Secu	rities A	equire	ed, D	isposed (	of, or Ben	eficially Owne	ed			
1.Title of Security (Instr. 3)  2. Trans. D			1	Execution Date, if any (Instr		3. Trans. C (Instr. 8)	ode	or Disposed of (D) (Instr. 3, 4 and 5)		P) Fo (In	Amount of Securities Beneficially Owned bllowing Reported Transaction(s) nstr. 3 and 4)				7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Tab	le II - Deriv	ative Secu	ırities B	Benef	ficially (	Owned (	(e.g. ,	, puts	, calls, w	arrants, o	options, conve	rtible sec	urities)		
Security Conversion Date Execution			4. Trans. Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date		Securities Underlying Derivative Security		Derivative Security (Instr. 5)	Securities Beneficially Owned Following Reported	Ownership Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exerc	isable	Expiration Date	Title	Number of Shares		Transaction(s) (Instr. 4)	(I) (Instr. 4)	
Restricted Equity Units	<u>(1)</u>	10/26/2016		A		10088		<u>(</u>	1)	<u>(1)</u>	Common Units	10088	\$0	10088	I	See footnote (2)

#### **Explanation of Responses:**

- (1) These restricted equity units of KKR & Co. L.P. were approved for grant under the KKR & Co. L.P. 2010 Equity Incentive Plan and will generally vest on October 1, 2017. Upon vesting, each restricted equity unit may be settled by delivery of one common unit of KKR & Co. L.P.
- (2) These Securities are held by the Reporting Person's living trust and were transferred to the Reporting person's living trust in transactions exempt from reporting under Rule 16a-13 under the Securities Exchange Act of 1934.

#### Remarks:

Pursuant to Rule 16a-1(a)(4) under the Securities Exchange Act of 1934, as amended, the Reporting person states that this filing shall not be an admission that the Reporting Person is the beneficial owner of any of the securities reported herein as indirectly held, and the Reporting Person disclaims beneficial ownership of such securities except to the Reporting Person's pecuniary interest therein.

**Reporting Owners** 

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Drummond David C							
C/O KKR & CO. L.P.,	X						
9 WEST 57TH STREET, 42ND FLOOR							
NEW YORK, NY 10019							

#### **Signatures**

/s/ David J. Sorkin, Attorney-in-fact

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.