

# KKR & CO. INC. Reported by DRUMMOND DAVID C

# FORM 4

(Statement of Changes in Beneficial Ownership)

# Filed 10/03/14 for the Period Ending 10/01/14

 Address
 9 WEST 57TH STREET, SUITE 4200

 NEW YORK, NY, 10019

 Telephone
 212-750-8300

 CIK
 0001404912

 Symbol
 KKR

 Fiscal Year
 12/31

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FORM 4	
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[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person +	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Drummond David C	KKR & Co. L.P. [ KKR ]					
(Last) (First) (Middle)	3. Date of Earliest Transaction (MM/DD/YYYY)	XDirector10% Owner Officer (give title below)Other (specify below)				
C/O KKR & CO. L.P., 9 WEST 57TH	10/1/2014					
STREET, 42ND FLOOR						
(Street)	4. If Amendment, Date Original Filed (MM/DD/YYYY)	6. Individual or Joint/Group Filing (Check Applicable Line)				
NEW YORK, NY 10019 (City) (State) (Zip)		X Form filed by One Reporting Person Form filed by More than One Reporting Person				

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Trans. Date	2A. Deemed Execution Date, if any	(Instr. 8)		or Disposed of (D)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		Beneficial
			Code	v	Amount	(A) or (D)	Price		Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Common Units	10/1/2014		М		2606	А	<u>(1)</u>	2606		See footnote (2)

### Table II - Derivative Securities Beneficially Owned ( e.g. , puts, calls, warrants, options, convertible securities)

	Security		Code	v	(Instr. 3 (A)	, 4 and 5) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	4)	
Restricted Equity Units	<u>(1)</u>	10/1/2014	Code M	v	(A)	(D) 2606	Exercisable	Date (1)	Common Units		\$0	(Instr. 4) 6054	,	See footnote

### **Explanation of Responses:**

- (1) 2,606 restricted equity units of KKR & Co. L.P. vested on October 1, 2014 and were settled for common units of KKR & Co. L.P. on a one-for-one basis. The remaining 6,054 restricted equity units will generally vest on October 1, 2015, and upon vesting, may be settled by delivery of common units of KKR & Co. L.P. on a one-for-one basis.
- (2) These securities are held by the Reporting Person's living trust.

#### **Remarks:**

Pursuant to Rule 16a-1(a)(4) of the Securities Exchange Act of 1934, as amended, the Reporting Person states that this filing shall not be an admission that the Reporting Person is the beneficial owner of any of the securities reported herein as indirectly held, and the Reporting Person disclaims beneficial ownership of such securities except to the extent of the Reporting Person's pecuniary interest therein.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Drummond David C C/O KKR & CO. L.P. 9 WEST 57TH STREET, 42ND FLOOR NEW YORK, NY 10019	X						

#### Signatures

/s/ David J. Sorkin, Attorney-in-fact

10/3/2014

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Date

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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