

ROCKWOOD HOLDINGS, INC.

Reported by KKR & CO. INC.

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 11/21/12 for the Period Ending 11/19/12

Address 100 OVERLOOK CENTER

PRINCETON, NJ, 08540

Telephone 6095140300

CIK 0001315695

Fiscal Year 12/31





[X] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE **COMMISSION**

Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP **OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				*	2. Issuer Name and Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
KKR Fund H	oldings	L.P.]	Rocl	kwood	Holo	lil	ngs, Ir	ıc.	[ROO	C]					
(Last) (First) (Middle)					3. Date of Earliest Transaction (MM/DD/YYYY)							Directo	X 10% Owner Other (specify				
C/O KOHLB	CO. L.	P., 9	WEST				11	/1	9/201	2			below)				
57TH STREE	(Street)		<u>200 </u>		4 TC /	·	T		0.1.1	1 '	D:11		C I. 1' 11	.1 7	- 1/C I	7:11:	_
	(Sirect)					Amendm D/YYYY)	ent, L	at	e Origii	iai .	rnea		6. Individu Applicable Li		nt/Group i	filing (Che	eck
NEW YORK,	, NY 10 0 (State)		(Zip)												eporting Perso		on
(* 3)			- 17	1									_ A _ Politi II	ied by More	than One Ke	porting reis	OII
		Tab	le I - Nor	1-Deri	ivativ	e Securi	ties A	Ac	quired,	Dis	sposed		Beneficially				
·				2. Tr Date	;	2A. Deemed Execution Date, if	Code	(A) or Dispos (B) (Instr. 3, 4 and		sed of (D) Follow		Amount of Securities Beneficially Owned bllowing Reported Transaction(s) nstr. 3 and 4)			Ownership Form:	7. Nature of Indirect Beneficial Ownership	
						any	Code	v	Amount	(A) or (D)	Price					or Indirect (I) (Instr. 4)	(Instr. 4)
Common Stock, par	value \$0.01 ¡	per sha	re	11/19	9/2012		S		1739923	D	\$42.67	(1)		0		I	See footnotes (2) (7) (8) (9)
Common Stock, par	value \$0.01 _]	per sha	re	11/19	9/2012		s		5011109	D	\$42.67	(1)		0		I	See footnotes (3) (7) (8) (9)
Common Stock, par	value \$0.01 _]	per sha	re	11/19	9/2012		s		149806	D	\$42.67	(1)		0		I	See footnotes (4) (8) (9)
Common Stock, par	value \$0.01 ¡	per sha	re										82	25129		I	See footnotes (5) (8) (9)
Common Stock, par	value \$0.01 J	per sha	re										89	9711		I	See footnotes (6) (8) (9)
Tab	le II - De	rivati	ve Secur	ities B	Benefi	cially O	wned	(e.g. , pı	ıts,	calls, v	varran	nts, options,	convert	ible secur	ities)	
1. Title of Derivate Security (Instr. 3) 2. Conversion or Exercise Price of Derivative Security 3. Training the price of Derivative Security		nversion Trans. Deemed Exercise Ce of rivative Trans. Date any Trans. Date, if any		Trans. Code	Deriv Secur Acqu Dispo		and Expiration Date Sec Der				7. Title a Securitie Derivativ (Instr. 3	es Under ve Secur	lying	(Instr. 5) Se B O Fe		Derivative	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code V	5) / (A)	(D)	Date Exerc	isal	Expira ole Date	ation		nount or	Number of	Reported		(I) (Instr. 4)	

Explanation of Responses:

(1) As described in the prospectus supplement filed by Rockwood Holdings, Inc. on November 16, 2012, in connection with secondary sales pursuant to an underwritten offering, the selling stockholders sold shares of common stock to the underwriters at \$42.67 per share.

- (2) These shares are held by KKR Millennium Fund L.P. As the sole general partner of KKR Millennium Fund L.P., KKR Associates Millennium L.P. may be deemed to be the beneficial owner of such securities held by KKR Millennium Fund L.P. As the sole general partner of KKR Associates Millennium L.P., KKR Millennium GP LLC also may be deemed to be the beneficial owner of such securities held by KKR Millennium Fund L.P.
- (3) These shares are held by KKR European Fund, Limited Partnership. As the sole general partner of KKR European Fund, Limited Partnership, KKR Associates Europe, Limited Partnership may be deemed to be the beneficial owner of such shares held by KKR European Fund, Limited Partnership. As the sole general partner of KKR Associates Europe, Limited Partnership, KKR Europe Limited also may be deemed to be the beneficial owner of such shares held by KKR European Fund, Limited Partnership.
- (4) These shares are held by KKR Partners III, L.P. (Series F). As the sole general partner of KKR Partners III, L.P. (Series F), KKR III GP LLC may be deemed to be the beneficial owner of such shares held by KKR Partners III, L.P. (Series F).
- (5) These shares are held by KKR Associates 1996 L.P. As the sole general partner of KKR Associates 1996 L.P., KKR 1996 GP LLC may be deemed to be the beneficial owner of such shares held by KKR Associates 1996 L.P.
- (6) These shares are held by Aurora Investments II, LLC.
- (7) Each of KKR Fund Holdings L.P. (as the designated member of KKR Millennium GP LLC and the sole shareholder of KKR Europe Limited); KKR Fund Holdings GP Limited (as a general partner of KKR Fund Holdings L.P.); KKR Group Holdings L.P. (as a general partner of KKR Fund Holdings GP Limited); KKR Group Limited (as the sole general partner of KKR Group Holdings L.P.); KKR & Co. L.P. (as the sole shareholder of KKR Group Limited) and KKR Management LLC (as the sole general partner of KKR & Co. L.P.) may also be deemed to be the beneficial owner of the shares held by KKR Millennium Fund L.P. and KKR European Fund, Limited Partnership.
- (8) As the designated members of KKR Management LLC, the managers of KKR 1996 GP LLC, KKR III GP LLC and Aurora Investments II, LLC, Henry R. Kravis and George R. Roberts may also be deemed to beneficially own the shares held by KKR Millennium Fund L.P., KKR European Fund, Limited Partnership, KKR Associates 1996 L.P., KKR Partners III, L.P. (Series F) and Aurora Investments II, LLC. Messrs. Kravis and Roberts have also been designated as managers of KKR Millennium GP LLC by KKR Fund Holdings L.P.
- (9) Each Reporting Person and each other person named in the footnotes above disclaims beneficial ownership of any securities reported herein, except to the extent of such person's pecuniary interest therein. The filing of this statement shall not be deemed an admission that, for purposes of Section 16 of the Securities Exchange Act of 1934 or otherwise, the Reporting Persons are the beneficial owners of any equity securities covered by this statement. Due to the limitations of Form 4, certain of the persons described as beneficial owners or deemed beneficial owners of the securities reported on this Form 4 are filing as reporting persons on a separate Form 4.

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Reporting Owners

Reporting Owner Name / Address	Relationships				
Reporting Owner Name / Address	Director	10% Owner	Officer	Other	
KKR Fund Holdings L.P. C/O KOHLBERG KRAVIS ROBERTS & CO. L.P. 9 WEST 57TH STREET, SUITE 4200 NEW YORK, NY 10019		X			
KKR Fund Holdings GP Ltd C/O KOHLBERG KRAVIS ROBERTS & CO. L.P. 9 WEST 57TH STREET, SUITE 4200 NEW YORK, NY 10019		X			
KKR Group Holdings L.P. C/O KOHLBERG KRAVIS ROBERTS & CO. L.P. 9 WEST 57TH STREET, SUITE 4200 NEW YORK, NY 10019		X			
KKR Group Ltd C/O KOHLBERG KRAVIS ROBERTS & CO. L.P. 9 WEST 57TH STREET, SUITE 4200 NEW YORK, NY 10019		X			
KKR & Co. L.P. C/O KOHLBERG KRAVIS ROBERTS & CO. L.P. 9 WEST 57TH STREET, SUITE 4200 NEW YORK, NY 10019		X			
KKR Management LLC C/O KOHLBERG KRAVIS ROBERTS & CO. L.P. 9 WEST 57TH STREET, SUITE 4200 NEW YORK, NY 10019		X			
KRAVIS HENRY R C/O KOHLBERG KRAVIS ROBERTS & CO. L.P.					

9 WEST 57TH STREET, SUITE 4200 NEW YORK, NY 10019	X	
ROBERTS GEORGE R C/O KOHLBERG KRAVIS ROBERTS & CO. L.P.	X	
9 WEST 57TH STREET, SUITE 4200 NEW YORK, NY 10019		

Signatures

KKR FUND HOLDINGS L.P. By: KKR Fund Holdings GP Limited, its general partner By: /s/ Richard J. Kreider Name: Richard J. Kreider Title: Attorney-in-fact for William J. Janetschek, Director						
** Signature of Reporting Person KKR FUND HOLDINGS GP LIMITED By: /s/ Richard J. Kreider Name: Richard J. Kreider Title: Attorney- in-fact for William J. Janetschek, Director						
						** Signature of Reporting Person
KKR GROUP HOLDINGS L.P. By: KKR Group Limited, its general partner By: /s/ Richard J. Kreider Name: Richard J. Kreider Title: Attorney-in-fact for William J. Janetschek, Director	11/21/2012					
** Signature of Reporting Person						
KKR GROUP LIMITED By: /s/ Richard J. Kreider Name: Richard J. Kreider Title: Attorney-in-fact for William J. Janetschek, Director						
** Signature of Reporting Person	Date					
KKR & CO. L.P. By: KKR Management LLC, general partner By: /s/ Richard J. Kreider Name: Richard J. Kreider Title: Attorney-in-fact for William J. Janetschek, Vice President and Chief Financial Officer	11/21/2012					
** Signature of Reporting Person	Date					
KKR MANAGEMENT LLC By: /s/ Richard J. Kreider Name: Richard J. Kreider Title: Attorney-in-fact for William J. Janetschek, Chief Financial Officer						
** Signature of Reporting Person	Date					
HENRY R. KRAVIS By: /s/ Richard J. Kreider Name: Richard J. Kreider Title: Attorney-in-fact						
** Signature of Reporting Person	Date					
GEORGE R. ROBERTS By: /s/ Richard J. Kreider Name: Richard J. Kreider Title: Attorney-in-fact						
** Signature of Reporting Person	Date					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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