

KKR & CO. INC. Reported by RUSSO PATRICIA F

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 10/28/16 for the Period Ending 10/26/16

 Address
 9 WEST 57TH STREET, SUITE 4200 NEW YORK, NY, 10019

 Telephone
 212-750-8300

 CIK
 0001404912

 Symbol
 KKR

 Fiscal Year
 12/31

Powered By EDGAR Online

http://www.edgar-online.com

© Copyright 2020, EDGAR Online, a division of Donnelley Financial Solutions. All Rights Reserved. Distribution and use of this document restricted under EDGAR Online, a division of Donnelley Financial Solutions, Terms of Use.

FORM 4	
--------	--

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*]	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
RUSSO PATRICIA F	KKR & Co. L.P. [KKR]	X Director 10% Owner				
(Last) (First) (Middle)	3. Date of Earliest Transaction (MM/DD/YYYY)	Officer (give title below)Other (specify below)				
C/O KKR & CO. L.P.,, 9 WEST 57TH	10/26/2016					
STREET, 42ND FLOOR						
(Street)	4. If Amendment, Date Original Filed (MM/DD/YYYY)	6. Individual or Joint/Group Filing (Check Applicable Line)				
NEW YORK, NY 10019		X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State) (Zip)		rorm med by More man One Reporting Person				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security	2. Trans. Date	2A. Deemed	3. Trans. Cod	le	4. Securit	ies Acquire	ed (A)	5. Amount of Securities Beneficially Owned	6.	7. Nature		
(Instr. 3)		Execution						Following Reported Transaction(s)	Ownership	of Indirect		
		Date, if any			(Instr. 3, 4 and 5) ((Instr. 3, 4 and 5) (Ir			(Instr. 3 and 4)	Form:	Beneficial
									Direct (D)	Ownership		
									or Indirect	(Instr. 4)		
						(A) or			(I) (Instr.			
			Code	V	Amount	(D)	Price		4)			

Table II - Derivative Securities Beneficially Owned (e.g. , puts, calls, warrants, options, convertible securities)

1. Title of Derivate Security (Instr. 3)	-	3. Trans. Date	3A. Deemed Execution Date, if any	Code (Instr. 8)				6. Date Exercisable and Expiration Date		Securities Underlying		Derivative Security (Instr. 5)		Ownership Form of Derivative	Beneficial Ownership (Instr. 4)
	,			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			or Indirect	
Restricted Equity Units	<u>(1)</u>	10/26/2016		Α		10088		<u>(1)</u>	<u>(1)</u>	Common Units	10088	\$0	10088	D	

Explanation of Responses:

(1) These restricted equity units of KKR & Co. L.P. were approved for grant under the KKR & Co. L.P. 2010 Equity Incentive Plan and will generally vest on October 1, 2017. Upon vesting, each restricted equity unit may be settled by delivery of one common unit of KKR & Co. L.P.

Reporting Owners

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
RUSSO PATRICIA F C/O KKR & CO. L.P., 9 WEST 57TH STREET, 42ND FLOOR NEW YORK, NY 10019	X						

Signatures

/s/ David J. Sorkin, Attorney-in-fact *** Signature of Reporting Person

10/28/2016 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.