

KKR & CO. INC. Reported by SORKIN DAVID

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 02/23/17 for the Period Ending 02/21/17

Address 9 WEST 57TH STREET, SUITE 4200

NEW YORK, NY, 10019

Telephone 212-750-8300

CIK 0001404912

Symbol KKR

SIC Code 6282 - Investment Advice

Industry Investment Management & Fund Operators

Sector Financials

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2. I	2. Issuer Name and Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Sorkin David					KKR & Co. L.P. [KKR]							Director		10	% Owner	
(Last) (First) (Middle)				3. I	3. Date of Earliest Transaction (MM/DD/YYYY)							X Officer (give title below) Other (specify below)				
C/O KKR & STREET, 42			ST 57T	Н			2/2	1/201	17			General Cou	nsel and S	Secretary		
	(Stre	et)		4. I	f An	nendment	, Date C	Origina	l Fil	ed (MM/E	DD/YYYY)	6. Individual o	or Joint/G	roup Filing	Check Appl	icable Line)
NEW YORK, NY 10019												X_Form filed by One Reporting Person Form filed by More than One Reporting Person				
(C	ity) (Sta	, , , ,	•	Non-Der	ivati	ive Secur	rities Ac	·anired	ı Di	isnosed (of or Ren	eficially Own	-y			
		rans. Date	Date 2A. Deemed		3. Trans. Code				uired (A) 5. Fo	Amount of Securities Beneficially O ollowing Reported Transaction(s) nstr. 3 and 4)				7. Nature of Indirect Beneficial Ownership (Instr. 4)		
	Tabl	le II - Deri	vative Sec	curities E	Bene	ficially O) Wned (e.g. , _I	puts	, calls, w	arrants,	options, conve	rtible sec	urities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deemed Execution Date, if any	Code		5. Number of Derivative Securities A (A) or Disp (D) (Instr. 3, 4 a	Acquired osed of	6. Date Exercisable and Expiration Date		7. Title and Securities U Derivative S (Instr. 3 and	nderlying Derivative security Security		9. Number of derivative Securities Beneficially Owned Following	Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisa		Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Restricted Equity Units	<u>(1)</u>	2/21/2017		A		67463		(1))	<u>(1)</u>	Common Units	67463	\$0	286886 (2)	D	

Explanation of Responses:

- (1) These restricted equity units were approved for grant under the KKR & Co. L.P. 2010 Equity Incentive Plan and will generally vest in equal annual installments over a three-year period with the initial vesting on April 1, 2018. Upon vesting, each restricted equity unit may be settled by delivery of one common unit of KKR & Co. L.P.
- (2) 219,423 of these restricted equity units were previously granted to the Reporting Person and consist of 12,430 restricted equity units of KKR & Co. L.P. that will generally vest on April 1, 2017 and 147,194 and 59,799 restricted equity units of KKR & Co L.P., which will generally vest in two or three equal installments, respectively, on April 1st of each year with the next vesting on April 1, 2017.

Reporting Owners

Reporting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Sorkin David								
C/O KKR & CO. L.P.,			General Counsel and Secretary	_				
9 WEST 57TH STREET, 42ND FLOOR		General Counsel and S						
NEW YORK, NY 10019								

Signatures

/s/ Christopher Lee, Attorney-in-fact 2/23/2017

***Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.