

# KKR & CO. INC. Reported by RUSSO PATRICIA F

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 10/04/16 for the Period Ending 10/01/16

Address 9 WEST 57TH STREET, SUITE 4200

NEW YORK, NY, 10019

Telephone 212-750-8300

CIK 0001404912

Symbol KKR

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2. 1	2. Issuer Name and Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
RUSSO PATRICIA F  (Last) (First) (Middle)					KKR & Co. L.P. [ KKR ]  3. Date of Earliest Transaction (MM/DD/YYYY)								X Director 10% Owner				
C/O KKR & CO. L.P.,, 9 WEST 57TH STREET, 42ND FLOOR				Н	10/1/2016								Officer (giv	e title below	v)O	ther (specify	below)
511111, 42	(Stre			4. ]	f An	nendme	ent, Date C	Origin	al Fi	led (MM/	DD/YYYY	Y) 6	. Individual o	or Joint/G	roup Filing (	Check Appl	icable Line)
NEW YORK	<b>X, NY 100</b> ity) (Sta		)									_	X Form filed by		rting Person One Reporting P	erson	
		,	Table I -	Non-Der	ivat	ive Sec	urities Ac	quire	ed, D	isposed	of, or B	Senef	ficially Owne	ed			
1. Title of Security (Instr. 3) 2. Trans.			Trans. Date	Exec	Deemed eution , if any	3. Trans. Co (Instr. 8)	ode	4. Securities Acq or Disposed of (I (Instr. 3, 4 and 5)		D) Follo		Amount of Securities Beneficially Owned ollowing Reported Transaction(s) astr. 3 and 4)			6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership	
							Code	v	Amo	unt (A)		;					(Instr. 4)
Common Units 1			0/1/2016	016		M		6219	9 A	<u>(1)</u>		42495		D			
	Tab	le II - Deri	vative Se	ecurities l	Bene	eficially	Owned (	e.g. ,	, puts	s, calls, v	varrant	ts, op	otions, conve	rtible sec	urities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deeme Execution Date, if any	Code	Derivativ Securities		ve es Acquired isposed of	6. Date Exercisable Expiration Date				es Und ve Sed	Underlying Derivativ Security Security		derivative Securities Beneficially Owned Following	Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exerc		Expiration Date	Title	1	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Restricted Equity Units	<u>(1)</u>	10/1/2016		М			6219	(	1)	<u>(1)</u>	Commo Units	-	6219	\$0	0	D	

#### **Explanation of Responses:**

(1) 6,219 restricted equity units of KKR & Co. L.P. vested on October 1, 2016 and were settled for common units of KKR & Co. L.P. on a one-for-one basis.

#### Reporting Owners

Reporting Owners							
Paparting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner		Other			
RUSSO PATRICIA F							
C/O KKR & CO. L.P.,	X						
9 WEST 57TH STREET, 42ND FLOOR	Λ						
NEW YORK, NY 10019							

#### **Signatures**

/s/ David J. Sorkin, Attorney-in-fact 10/4/2016

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.