

# KKR & CO. INC. Reported by SCULLY ROBERT W

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 08/17/17 for the Period Ending 08/17/17

Address 9 WEST 57TH STREET, SUITE 4200

NEW YORK, NY, 10019

Telephone 212-750-8300

CIK 0001404912

Symbol KKR

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person *      |   |                   |                         |   | 2.  | 2. Issuer Name and Ticker or Trading Symbol                          |          |   |          |  |                   |  | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)             |                          |  |   |   |  |
|--|---|-------------------|-------------------------|---|---|--|----------|---|----------|--|-------------------|--|---|--------------------------|--|---|---|--|
| SCULLY ROBERT W  (Last) (First) (Middle)       |   |                   |                         |   |   | KKR & Co. L.P. [ KKR ]  3. Date of Earliest Transaction (MM/DD/YYYY) |          |   |          |  |                   |  | X Director 10% Owner  |                          |  |   |   |  |
| (Last) (First) (Middle)                        |   |                   |                         | ٥.                                      | 5. Date of Earliest Transaction (www.bb/1111)     |  |          |   |          |  |                   | Officer (give title below) Other (specify below)                   |   |                          |  | below)  |   |  |
| C/O KKR & CO. L.P.,, 9 WEST 57TH               |   |                   |                         |   |   | 8/17/2017  |          |   |          |  |                   |  |   |                          |  |   |   |  |
| STREET, 42ND FLOOR                             |   |                   |                         |   |   |  |          |   |          |  |                   |  |   |                          |  |   |   |  |
| (Street)                                       |   |                   |                         | 4.                                      | 4. If Amendment, Date Original Filed (MM/DD/YYYY) |  |          |   |          |  |                   | 6. Individual or Joint/Group Filing (Check Applicable Line)        |   |                          |  |   |   |  |
| NEW YORK, NY 10019 (City) (State) (Zip)        |   |                   |                         |   |   |  |          |   |          |  |                   |  | X _ Form filed by One Reporting Person Form filed by More than One Reporting Person |                          |  |   |   |  |
| (C   | ity) (Sta   | ile) (Z.          | ip)                     |   |   |  |          |   |          |  |                   |  |   |                          |  |   |   |  |
|  |   |                   | Tabl                    | e I - N                                 | on-De   | rivati   | ive Sec  | urities A   | cqui     | ired, Di                                   | sposed            | of, or   | Ben   | neficially Owne          | ed                                     |   |   |  |
| 1. Title of Security (Instr. 3)                |   |                   |                         | 2A. Deemed<br>Execution<br>Date, if any |   | 3. Trans. Code<br>(Instr. 8)   |          | 4. Securities Acquir<br>Disposed of (D)<br>(Instr. 3, 4 and 5)            |          | ired (A)                                   | ]                 | 5. Amount of Securi<br>Following Reported<br>(Instr. 3 and 4)      | ities Beneficially Owned<br>I Transaction(s)  |                          | 6.<br>Ownership<br>Form:<br>Direct (D) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership   |   |  |
|  |   |                   |                         |   |   |  |          | Code  | V        | Amount                                     | (A) or<br>(D)     | Price  | e   |                          |  |   |   | (Instr. 4)   |
| Common Units 8/17/2017                         |   |                   |                         | 017                                     |   |  | P        |   | 55000    | A  | \$18.21           | <u>(1)</u>   | 55000   |                          | I                                      | By Trust  |   |  |
| Common Units                                   |   |                   |                         |   |   |  |          |   |          |  |                   |  | 49495   |                          |  | D   |   |  |
|  | Tab   | le II - Der       | ivativ                  | e Secu                                  | ırities   | Bene   | ficially | Owned   | ( e.g    | . , puts                                   | , calls, v        | varrai   | nts,  | options, conve           | rtible sec                             | urities)  |   |  |
| 1. Title of Derivate<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative | 3. Trans.<br>Date | 3A. D<br>Execu<br>Date, | tion                                    | 4. Trans<br>(Instr. 8)                            | Acqu<br>Dispo  |          | mber of<br>ative Securities<br>ired (A) or<br>sed of (D)<br>. 3, 4 and 5) |          | 6. Date Exercisable and<br>Expiration Date |                   | d 7. Title and 2<br>Securities U-<br>Derivative S<br>(Instr. 3 and |   | Underlying<br>Security   |  | derivative<br>Securities<br>Beneficially<br>Owned     | Ownership<br>Form of<br>Derivative<br>Security: | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|  | Security  |                   |                         |   | Code  | v  | (A)      | (D)   | Da<br>Ex | ite<br>ercisable                           | Expiratio<br>Date | n<br>Title   | Amo   | ount or Number of<br>res |  | Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | Direct (D)<br>or Indirect<br>(I) (Instr.<br>4)  |  |

#### **Explanation of Responses:**

(1) Reflects a weighted average purchase price. These common units were purchased in multiple transactions at prices ranging from \$18.1085 to \$18.32, inclusive. The Reporting Person will provide, upon request by the staff of the Securities and Exchange Commission, the Issuer, or a security holder of the Issuer, full information regarding the number of common units purchased at each separate price.

#### Remarks:

Pursuant to Rule 16a-1(a)(4) of the Securities Exchange Act of 1934, as amended, the Reporting Person states that this filing shall not be an admission that the Reporting Person is the beneficial owner of any of the securities reported herein as indirectly held, and the Reporting Person disclaims beneficial ownership of such securities except to the extent of the Reporting Person's pecuniary interest therein.

**Reporting Owners** 

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|--|
| Reporting Owner Name / Address | Director      | 10% Owner | Officer | Other |  |  |  |  |
| SCULLY ROBERT W                |               |           |         |       |  |  |  |  |
| C/O KKR & CO. L.P.,            | X             |           |         |       |  |  |  |  |
| 9 WEST 57TH STREET, 42ND FLOOR | 1             |           |         |       |  |  |  |  |
| NEW YORK, NY 10019             |               |           |         |       |  |  |  |  |

#### Signatures

/s/ David J. Sorkin, Attorney-in-fact

\*\*Signature of Reporting Person

\*\*Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.